

RFPP Submissions

Submission Number	Submitter Company	Discussion Document / s
1	Trustee Executors	Non Bank Deposit Takers, Mutuals' Governance, Platforms and Portfolio Management Services, Supervision of Issuers, Securities Offerings, Registration of Financial Institutions and Collective Investment Schemes
2	Wine Country Credit Union	Non Bank Deposit Takers
3	Fisher & Paykel Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
4	Credit Union Taranaki	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
5	Credit Union Auckland	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
6	Credit Union MidCentral	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
7	Credit Union Mt Maunganui	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
8	NZ Licensed Traders Association Inc	General Comment Re. Legislative Development
9	LeasePlan NZ Ltd	Non Bank Deposit Takers
10	Blue Sky Meats (NZ) Ltd	Review of Securities Offerings
11	Marsden Point Refinery Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
12	Wilson & Horton Employees Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
13	NZICA	Review of Securities Offerings, Insurance & Mutuals' Governance
14	Manchester Unity Friendly Society	Mutuals' Governance

15	Manchester Regional Credit Union	Mutuals' Governance & Non Bank Deposit Takers
16	Wellington District Manchester Unity Credit Union	Mutuals' Governance & Non Bank Deposit Takers
17	Atradius	Insurance
18	Business Finance Ltd	Non Bank Deposit Takers
19	Credit Union Baywide	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
20	Consumers' Institute of New Zealand Inc	Consumer Dispute Resolution & Redress, Non Bank Deposit Takers and Securities Offerings
21	Insurance & Savings Ombudsman	Consumer Dispute Resolution, Platforms & Portfolio Management Services, Supervision of Issuers, Insurance, Securities Offerings, Collective Investment Schemes.
22	Kensington Swan	Review of Securities Offerings
23	Hastings, Heretaunga, Nelson building societies	Non Bank Deposit Takers
24	Steelsands Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
25	Prometheus Ethical Finance	Non Bank Deposit Takers, Securities Offerings
26	NZ Association of Credit Unions	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
27	Risk Management Ltd	Insurance
28	Perry Cameron & Associates Ltd	Supervisor of Issuers
29	NZ Society of Actuaries (Inc)	Insurance, Collective Investment Schemes and Securities Offerings
30	Credit Union Bay of Plenty	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
31	NZ Funds Management Ltd	Collective Investment Schemes, Review of Securities Offerings, Registration
32	Synergy International Ltd	Review of Securities Offerings
33	Fairfield Finance Ltd	Supervisor of Issuers, Non-bank deposit takers
34	Securities Industry Association	Overview and registration, review of securities offerings, Consumer dispute resolution, platforms and portfolio management services
35	Progressive Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes

36	Westforce	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
37	Farmers' Mutual Finance Ltd	Overview, Insurance, Mutuals' Governance, Non Bank Deposit Takers, Supervision of Issuers and Consumer Dispute Resolution and Redress
38	Certified Financial Planner	Insurance
39	Investment Banker	Review of Securities Offerings
40	Council for Socially Responsible Investment	Review of Securities Offerings
41	Credit Union Waikato	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
42	ISDA	Review of Securities Offerings
43	GE Money	Registration, Non Bank Deposit Takers, Consumer Dispute Resolution
44	Credit Union Otago	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
45	QBE Insurance	Overview, Insurance, Consumer Dispute Resolution and Redress
46	Credit Union Central	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
47	NZ Captive Insurance Association	Insurance
48	Credit Union Hamilton	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
49	Mascot Finance	Non Bank Deposit Takers
50	Dominion Finance Holdings Ltd	Non Bank Deposit Takers
51	Credit Union Nelson	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
52	Child Poverty Action Group Inc	Overview of the Review and Registration of Financial Institutions and Consumer Dispute Resolution and Redress
53	Professional Advisors Association Inc	Insurance
54	Active Equities Ltd	Review of Securities Offerings

55	Credit Union Health	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
56	Credit Union Otago	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
57	Standard & Poor's	Non Bank Deposit Takers, Securities and Insurance
58	AON New Zealand Ltd	Insurance
59	Business New Zealand	Securities Offerings
60	First NZ Capital	Securities Offerings & Platforms and Portfolio Management Services, Consumer Dispute Resolution
61	ASB Bank	Platforms and Portfolio Services
62	Harbour City Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
63	Institute of Financial Advisor	All
64	Hanover Group	Non Bank Deposit Takers
65	Cameron Partners Ltd	Review of Securities Offerings
66	Credit Union Lakeland	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
67	NZ Business Roundtable	Review of Securities Offerings
68	Munich Reinsurance Group in Australasia	Insurance
69	IAG New Zealand Ltd	Insurance
70	Unlisted	Review of Securities Offerings
71	Credit Union Forestland	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
72	Manchester Unity Friendly Society Financial Services Ashburton Branch	Mutuals' Governance & Non-Bank Deposit Takers
73	Manchester Unity Friendly Society Financial Services Taranaki District Credit Union	Mutuals' Governance & Non Bank Deposit Takers
74	Covenant Trustee Company Ltd	Supervision of Issuers

75	Matrix	Collective Investment Schemes, Registration, Review of Securities Offerings, Non-Bank Deposit Takers
76	Insurance Brokers Association of New Zealand	Insurance
77	Invercargill Licensing Trust Credit Union	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
78	Consumer Rep - Banking Ombudsman Commission	Consumer Dispute Resolution & Redress
79	IRD	Collective Investment Schemes
80	NZ Law Society	Insurance
81	NZ Business Council for Sustainable Development	Review of Securities Offerings
82	NZ Institute of Chartered Accountants	Consumer Dispute resolution and Redress, Insurance, Non Bank Deposit Takers, Registration of Financial Institutions, Mutuals' Governance, Supervision of Issuers and Review of Securities Offerings
83	Thorn Rentals NZ Ltd	Non Bank Deposit Takers
84	Credit Union Westland	Non Bank Deposit Takers, Mutuals' Governance, Supervision of Issuers, Securities Offerings, Insurance, Consumer Dispute Resolution, Platforms and Portfolio Management Services, Collective Investment Schemes
85	Local Government New Zealand	Securities Offerings
86	Open Polytechnic of NZ	Securities Offerings
87	Individual	Non Bank Deposit Takers
88	Savings and Loans Ltd	Non Bank Deposit Takers
89	Fidelity Life	Insurance
90	Vero	Insurance, Overview and Registration, Consumer Dispute Resolution and Mutuals' Governance
91	Southern Cross	Overview & Registration, Mutuals' Governance, Consumer Dispute Resolution & Insurance
92	Sustainable Future	Review of Securities Offerings
93	ABN AMRO Craigs	Review of Securities Offerings, Platforms and Portfolio Management Services, Non Bank Deposit Takers, Consumer Dispute Resolution and Redress, Collective Investment Schemes, Overview and Registration
94	Mutual Finance Limited	Overview & Registration, Securities Offerings, Supervision of Issuers, Non Bank Deposit Takers, Consumer Dispute Resolution and Redress
95	AA Insurance Ltd	Insurance
96	Lloyds	Insurance

97	Tower	Registration, Insurance, Consumer Dispute Resolution, Securities Offerings, Supervision of Issuers, Collective Investment Schemes, Platforms and Portfolio Management Services
98	Insurance Council of NZ	Insurance
99	ACC	Insurance
100	AIA (Bermuda) Ltd	Insurance
101	Canterbury Building Society and Loan and Building Society	Non Bank Deposit Takers
102	Financial Services Federation	Registration, Mutuals' Governance, Securities Offerings, Supervision of Issuers and Non Bank Deposit Takers
103	Individual	Overview, Insurance, Security Issuers, Mutuals' Governance and Collective Investment Schemes
104	BT Financial Group	Review of Securities Offerings
105	Telecom	Supervision of Issuers
106	Wairarapa Building Society	Non Bank Deposit Takers
107	Kiwi Finance	Review of Securities Offerings,
108	Reserve Bank	Platforms and Portfolio Services
109	Fisher & Paykel Finance Ltd	Non Bank Deposit Takers, Insurance, Securities Offerings, Consumer Dispute Resolution and Redress,
110	St Laurence Ltd	Non Bank Deposit Takers
111	Listed Companies Association	Securities Offerings
112	Marac	Non Bank Deposit Takers
113	National Provident	Collective Investment Schemes and Securities Offerings
114	Strategic Finance Ltd	Non Bank Deposit Takers, Securities Offerings, Registration of Financial Institutions, Consumer Dispute Resolution and Redress and Supervision of Issuers
115	Investment Savings & Insurance Association of NZ Inc	All
116	AMI Insurance	Insurance
117	AXA New Zealand	Non Bank Deposit Takers, Insurance, Consumer Dispute Resolution and Redress, Securities Offerings, Supervision of Issuers and Collective Investment Schemes
118	Southland Building Society	Non Bank Deposit Takers, Mutuals' Governance and Consumer Dispute Resolution
119	Sovereign	Insurance
120	Health Service Welfare Society	Insurance
121	Trustee Corporations Association of New Zealand	Registration of Financial Institutions, Securities Offerings, Supervision of Issuers, Collective Investment Schemes, Non Bank Deposit Takers, Mutuals' Governance and Platforms and Portfolio Management Services
122	Health Funds Association of NZ	Insurance, Consumer Disputes and Mutuals' Governance

123	Perpetual Trust	Non Bank Deposit Takers & Collective Investment Schemes
124	Southsure Assurance Ltd	Insurance
125	Women In Super	All
126	ANZ National Bank Ltd	Securities Offerings
127	Westpac Banking Corporation	Overview & Registration, Insurance, Securities Offerings, Consumer Dispute Resolution and Redress
128	South Canterbury Finance	Non Bank DepositTakers, Supervision of Issuers, Review of Securities Offerings and Overview of the Review and Registration of Financial Institutions
129	New Zealand Exchange	Consumer Dispute Resolution and Redress, Non bank Deposit-Takers, Platforms and Portfolio Management Services, Securities Offerings, Collective Investment Schemes and Supervision of Issuers
130	CFA Society of New Zealand	Collective Investment Schemes
131	Institute of Finance Professionals New Zealand Inc	Overview of the Review and Registration of Financial Institutions, Supervision of Issuers and Non Bank Deposit Takers
132	AMP Financial Services	Overview of the Review and Registration of Financial Institutions, Supervision of Issuers, Insurance, Collective Investment Schemes, Platforms and Portfolio Management Services and Consumer Dispute Resolution and Redress
133	New Zealand Bankers' Association	Overview of the Review and Registration of Financial Institutions, Supervision of Issuers, Insurance, Collective Investment Schemes, Platforms and Portfolio Management Services, Non Bank Deposit-Takers, Consumer Dispute Resolution and Redress and Mutuals' Governance
134	ASFONZ	Overview of the Review and Registration of Financial Institutions, Supervision of Issuers, Collective Investment Schemes, Securities Offerings and Consumer Dispute Resolution and Redress
135	Government Superannuation Fund Authority	Collective Investment Schemes, Supervision of Issuers, Registration, Consumer Dispute Resolution and Redress, Securities Offerings
136	Retirement Commissioner	All
137	Bank of New Zealand	Collective Investment Schemes
138	Louis Boulanger Now Ltd	Overview of the Review and Registration of Financial Institutions, Supervision of Issuers, Insurance, Collective Investment Schemes, Platforms and Portfolio Management Services, Non Bank Deposit-Takers, Consumer Dispute Resolution and Redress and Mutuals' Governance
139	Office of the Banking Ombudsman	Consumer Dispute Resolution